



COMPETENCIES FOR INTERNAL AUDITORS



OVERVIEW

Internal auditing is conducted in diverse legal and cultural environments for organizations that differ in purpose, structure, size and complexity. The IIA's International Professional Practices Framework (IPPF) provides the internal auditing definition, core principles, standards and code of ethics as part of mandatory guidance. One of the requirements in the Standards relate to proficiency of internal auditors. "Internal auditors must possess the knowledge, skills, and other competencies needed to perform their individual responsibilities."

Internal auditors, individually and collectively as a team, need to be competent but technical skills alone is not adequate to deliver superior results. Behavioural competencies play a significant role in one's career progression. In this program, a few concepts around organisational effectiveness, leadership styles will be discussed. Key behavioural competencies such as communication, critical thinking and relationship building will be explained.

LEARNING OUTCOMES

By attending this course, the participants will be able to:

- To understand the context and importance of behavioural competencies
- To learn about the nuances of key behavioural competencies as identified above
- To identify improvement areas and work on them.

WEBINAR SERIES

PROGRAM OUTLINE

This session will include the following:

Module 1: Overview

- Why Competencies are important
- IPPF & Standards
- Competency & Skill
- Types of competencies
- Core Competencies:
IA staff
Audit Management

Module 2: Context & Concepts:

- Four Circle model
- Leadership styles
- Coaching - basics
- World View

Module 3: Key Behavioral Competencies:

- Analytical / Critical thinking
- Building relationship
- Communication
- Wrap up: Competency stages – where are you?
- What next? Self-assessment



The Institute of
Internal Auditors
Malaysia

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ABOUT THE TRAINER

N G SHANKAR

FCA, CIA, QIAL, CISA

N G Shankar is an audit practitioner and thought leader with an overall experience of 40 years in diverse industry sectors and professional practice. He spent his initial career in accounting, treasury and corporate finance in various companies and then took up a specialized role as Head of Internal Audit at ABB India, a multinational company. He retired as Group Executive President-Corporate Audit from Aditya Birla Group (ABG), a \$48 billion Indian multinational conglomerate, where he led the internal audit activity for a majority of ABG's businesses including metals, carbon black, cement and telecommunications across multiple geographies.

His overall industry exposure includes chemicals, oils, pharmaceuticals, electrical engineering, retail, mining and BPO. He has closely engaged with board members in diverse industry sectors and has travelled widely to more than 25 countries across West and South Asia, Europe, Americas, Africa and Australia.

Shankar has been actively involved in honorary and advisory positions with the Global Institute of Internal Auditors. He has authored **"A Handbook on Internal Audit"** for directors and senior management published by the Institute of Directors, India. He has been appointed as an independent director in a micro finance company in India.

Shankar is an avid speaker in conferences and conducts training for internal auditors and board members. He is passionate about the technical and behavioral aspects of internal auditing, risk management, governance and fraud. Trained as a performance coach, he has provided coaching to individuals outside the audit function.

WEBINAR SERIES

ADMINISTRATIVE DETAILS

TARGET AUDIENCE	LEVEL I-III
MEMBER'S FEE	RM600.00
NON-MEMBER'S FEE	RM800.00
<i>All Fees will be subject to 6% SST</i>	
DATE	6 July 2022
TIME	8:30 am – 1:00 pm
DELIVERY MODE	Virtual Platform
PROGRAMME CODE	WEB22
TRAINER	N G Shankar FCA, CIA, QIAL, CISA
CPD POINTS	5

ENQUIRY & REGISTRATION

1-17-07, Menara Bangkok Bank, Berjaya Central Park, 105 Jalan Ampang, 50450, Kuala Lumpur, Malaysia
Tel: +603 2181 8008 ext 210/213/212 Fax: +603 2181 1717 Email: training@iiam.com.my Website: www.iiam.com.my

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COURSE DETAIL

Course Title

Course Code

Course Date(s)

DELEGATE 1

*Full Name (as per IC)

*Designation

*Mobile No.

*Email Address

☐ Member

☐ Non-Member

*Membership No. (only applicable for members)"

DELEGATE 2

*Full Name (as per IC)

*Designation

*Mobile No.

*Email Address

☐ Member

☐ Non-Member

*Membership No. (only applicable for members)"

DELEGATE 3

*Full Name (as per IC)

*Designation

*Mobile No.

*Email Address

☐ Member

☐ Non-Member

*Membership No. (only applicable for members)"

CORPORATE DETAILS (only applicable for corporations)

☐ Corporate Member

Corporate Membership No: _____

☐ Corporate Non-Member

CONTACT DETAILS

Organisation Name

Mailing Address

Contact Person

Designation

Telephone

Fax

Email Address

BILLING DETAILS

☐ please tick if billing details are the same as contact details.

Contact Person

Designation

Billing Address

Telephone

Fax

Email Address

ENQUIRY & REGISTRATION

1-17-07, Menara Bangkok Bank, Berjaya Central Park, 105 Jalan Ampang, 50450, Kuala Lumpur, Malaysia
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PAYMENT DETAILS

Payment Details	Member Rate (per person) for KL-code related courses	Non-Member (per person)	6% SST	Total with SST
Fee (per pax) RM				
No. of pax				
Subtotal				

All registrations **MUST** be accompanied with full payment. Upon receipt of your registration, you are deemed to have read and understood the registration procedures and accepted the terms and conditions contained therein. (Please tick (✓) the chosen method)

☐ Enclosed is a cheque/bank draft no. _____ for the sum of RM _____ payable to
THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA

☐ LOCAL PAYMENTS BY CHEQUE / INTERBANK GIRO

All payments should be crossed and made payable to **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA**

Bank Details: United Overseas Bank (M) Bhd. USJ Taipan Branch, No.7, Jalan USJ 10-1, USJ Taipan Triangle, 47620 UEP Subang Jaya, Selangor

Account No.: 165-301-514-9 Bank Swift Code: UOVBMKYL

☐ OVERSEAS PAYMENTS BY WIRE TRANSFER (USD only)

Beneficiary: **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA**

Address: 1-17-07, Menara Bangkok Bank, Berjaya Central Park, 105 Jalan Ampang, 50450 Kuala Lumpur, Malaysia

Beneficiary's Bank: STANDARD CHARTERED BANK MALAYSIA BERHAD

Beneficiary's Bank Address: Level 18, Menara Standard Chartered, No.30 Jalan Sultan Ismail, 50250 Kuala Lumpur

Account No.: 312-170-024-235 Bank Swift Code: SCBLM-YK-XXXX

All wire transfer payments should include USD\$30.00 (overseas) and RM25.00 (local) for wire transfer processing fee. For GIRO, please include RM1.00 as bank charges. (Please fax the bank-in slip to +603 2181 1717 or email to training@iiam.com.my)

☐ CREDIT CARD

I hereby authorise **THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA** to charge to my credit card. to the value of

RM _____ Card Type: ☐ VISA ☐ MASTER

Card Number:

Expiry Date: _____ Cardholder's Name _____

I understand that any amount drawn from my credit card will first be cleared with the credit card authorisation facility.

Signature (As per credit card) _____ Date _____

TERMS & CONDITIONS

FEE

- Fee is payable to "THE INSTITUTE OF INTERNAL AUDITORS MALAYSIA". Please state your name, payment advice number, phone number and Workshop Code number at the back of the cheque/bank-in slip. Admittance will only be permitted upon receipt of full payment
- The fee covers the soft copy course material* and Certificate of Attendance.
- Full payment is to be made before the date of the course. Fee is subject to 6% SST.
- Walk-in delegates will only be allowed if full payment is made, subject to the availability of the seat.

CANCELLATION

- Upon registering, participant(s) are considered successfully enrolled in the course. Should participant(s) decide to cancel/transfer their registration, a cancellation/transfer policy shall be applied as follows.
 - Written cancellations should be received by 14 working days before the workshop date to get the refund.
 - Written cancellations should be received by 7 working days before the workshop date to get a partial refund after deduction of 50% administrative charge. Unpaid registrations will also be liable for 50% administrative charge.
 - Written cancellations/no-show on the day of the workshop.
 - No refund will be entertained.
 - Unpaid registrations will also be liable to full payment of the registrations fee.
 - Partial cancellation is not allowed.
 - You can substitute an alternate delegate(s) if you wish to avoid cancellation charges. Any differences in fees will be charged accordingly.

RESERVATION

- The Institute reserves the right to make changes to the venue, date, topic, speaker including cancellation if warranted by circumstances beyond its control.
- The Institute is not responsible for the action, advice or representations of the trainer / speaker.
- Registration will be on first-come, first-serve basis.
- Upon signing this form, you have deemed to have read and understand the registration term and condition and therefore have accepted the terms contained herein.
- Certificates of Attendance will be issued an "E-certificate" via email. For this purpose, it is COMPULSORY to fill in the email address clearly. Certificate will only be given to participant who attended the session in full.

DATA PROTECTION

Personal Data is gathered in accordance with the Personal Data Protection Act 2010 (Act 709). The Institute of Internal Auditors Malaysia (IIA Malaysia) hereby inform you that your personal data will be processed, retained and used by IIA Malaysia in relation to this Workshop. Your personal data may also be retained and used by IIA Malaysia to market and promote other training programmes conducted by IIA Malaysia.

DISCLAIMER

The Institute of Internal Auditors Malaysia (IIA Malaysia) reserves the right to change the speaker(s), date(s) or to cancel the Workshop should circumstances beyond its control arise. IIA Malaysia also reserves the right to make alternative arrangements without prior notice should it be necessary to do so. Upon signing the registration form, you are deemed to have read and accepted the terms and conditions.

* Subject to approval of the proprietor.